

Investment Knowledge & Experience Questionnaire



First and Last Name:

Date:

Please indicate your level of knowledge and experience with the following assets:

	Knowledge			Experience		
	none	moderate	advanced	none <i>0 years 0 trades</i>	moderate <i>up to 3 years 1-9 trades</i>	advanced <i>3+ years 10+ trades</i>
1. Fixed income						
2. Single stocks / equities						
3. Mutual investment funds						
4. Foreign exchange (spot & forwards)						
5. Gold & precious metals						
6. Other commodities						
7. Hedge funds						
8. Structured products & convertible bonds						
9. Real estate (real estate funds or similar)						
10. Private equity & private debt funds						
11. Options						
12. Futures						

I have acquired this knowledge from:

Comments (if any):

Personal Wealth Management

(e.g. investing for more than 3 years)

Profession

(e.g. working in an investment related role)

Other

(please specify)

Result

Your knowledge of investment products:

Considering your answers to our knowledge and experience questions, your level of knowledge and experience of the various assets is as follows:

	<i>basic</i>	<i>informed</i>	<i>advanced</i>
1. Fixed income			
2. Single stocks / equities			
3. Mutual investment funds			
4. Foreign exchange (spot & forwards)			
5. Gold & precious metals			
6. Other commodities			
7. Hedge funds			
8. Structured products & convertible bonds			
9. Real estate (real estate funds or similar)			
10. Private equity & private debt funds			
11. Options			
12. Futures			

The Investor undertakes to notify the Bank immediately if any information provided in this form as well as circumstances or approach to investing change. By signing this form the Investor confirms that all statements made herein are, to the best of her/his knowledge and belief, true, correct and complete.

Place & Date

Signature of the Investor