Rothschild & Co

Rothschild & Co India Private Limited

(Registered Category I – Merchant Banker. Registration number - INM000010668)

Disclosure of Investors Complaints Data

Data for the month ending October 31, 2026 -

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	1	1	1	0	0	10
3	Other Sources	0	0	0	0	0	0
	Grand Total	**1**	**1**	**1**	**0**	**0**	**10**

Trend of monthly disposal of complaints for the financial year 2026-27:

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month#
1	August 2026	0	0	0	0
2	September 2026	0	1	0	1
3	October 2026	1	0	1	0
	Grand Total	**1**	**1**	**1**	**1**

^ *Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.*

* *Inclusive of complaints of previous months resolved in the current month.*

\# *Inclusive of complaints pending as on the last day of the month.*

Trend of annual (financial year) disposal of complaints (for 3 years on a rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2024-25	0	0	0	0
2	2025-26	0	0	0	0
3	2026-27	0	1	1	0
	Grand total	**0**	**1**	**1**	**0**

Modified date: November 04, 2026