



ROTHSCHILD AND CO WEALTH MANAGEMENT UK LIMITED

CRD no: #158022
SEC File no: #801-72759

New Court, St Swithin's Lane
London EC4N 8AL, United Kingdom
Tel: +44 207 280 5000
E-mail: wmukadvisorycomplianceteam@rothschildandco.com

**Brochure Supplement/Form ADV Part 2B
for**

**Marcus Baker, Jake van Beever, Dominic Epton,
Ben Harrison, Charlotte Mettyear,
James Morrell, Helen Watson**

each of whom can be reached at the above details

March 2025

This Brochure Supplement provides information about the Client Advisers below and supplements our Brochure on Form ADV Part 2B. You should have received a copy of our Brochure. Please contact Tara O'Hagan, Chief Compliance Officer (wmukadvisorycomplianceteam@rothschildandco.com), if you did not receive our Brochure or if you have any questions about the contents of this Brochure Supplement.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

NAME	YEAR OF BIRTH	CURRENT POSITION	FORMAL EDUCATION AFTER HIGHSCHOOL	BUSINESS BACKGROUND OVER LAST 5 YEARS	OUTSIDE BUSINESS INTERESTS
Helen Watson	1966	Global Partner, Managing Director, CEO UK Wealth Management	None Completed the (Private Client Investment Advice & Management) PCIAM qualification, Chartered Fellow of CISI.	35+ years in Investment/Finance Industry, Morgan Stanley, and with Rothschild & Co since March 2010.	None
James Morrell	1982	Managing Director, Client Adviser, and Deputy CEO	Durham University- (2001- 2004); Law degree. Completed the PCIAM (with Distinction), Chartered Fellow of CISI.	20+ years in Investment/Finance Industry, first with Morgan Stanley, and with Rothschild & Co since March 2010.	None
Dominic Epton	1975	Managing Director, Client Adviser and Team Head	University of Newcastle upon Tyne (1994-1997) Law degree. LPC at The College of Law (1997- 1998), Completed various corporate finance exams (2005-2006), completed the PCIAM qualification in 2011.	7 years as a corporate lawyer (Ashurst), 20 years in investment banking and wealth management at Rothschild & Co.	None
Marcus Baker	1984	Director, Client Adviser	Cambridge University (2003-2006), Law (MA). Completed the PCIAM Qualification.	15+ years in Investment/Finance Industry, initially with Barclays. Has worked for Rothschild & Co since July 2015.	Yes – Not Significant
Jake van Beever	1975	Director, Client Adviser	Oxford Brooks University (1994-1998) BA Hons, French and Business Studies. Completed the PCIAM and Chartered Fellow of the CISI.	Rothschild & Co since 2013. Previously worked for Lloyds and the Bank of Scotland.	None

Ben Harrison	1975	Director, Client Advisor	Swansea University, BSc (Econs) Politics. Completed the PCIAM qualification (with Distinction).	20+ years in Investment/Finance Industry, Morgan Stanley (1999 – 2013), Credit Suisse (2013 – 2016) and with Rothschild & Co since 2016	Yes – Not significant
Charlotte Mettyear	1990	Director, Client Adviser	University of Cambridge (2008-2011) MA in Classics. Fellow of the CISI and completed the PCIAM qualification (with distinction) and the Investment Advice Diploma from the CISI.	More than 10 years' experience in the financial services industry - Rothschild & Co since 2013, Deutsche Bank prior to that.	None

ITEM 3 – DISCIPLINARY INFORMATION

We have nothing to report.

ITEM 4 – OTHER BUSINESS ACTIVITIES

No person has any substantial business activities that are material to a client's or prospective client's evaluation of this Supervised Person.

ITEM 5 – ADDITIONAL COMPENSATION

These ten Client Advisers receive no additional compensation from us or from non-clients for providing advisory services, nor do they receive a bonus other than a regular bonus from us. As Client Advisers, they only receive compensation from us, which is comprised of base salary and discretionary bonus, part of which is deferred over several years.

ITEM 6 – SUPERVISION

The direct line supervisors for six of the seven Client Advisors are Helen Watson (CEO), Dean Lush (Executive Vice Chairman), and James Morell (Client Adviser and Deputy CEO). With Helen Watson as CEO being accountable to the Rothschild and Co Wealth Management UK Limited Board. We monitor their activities for clients by a continual client file review program carried out by our Compliance Monitoring Team. Client Advisers are also subject to annual performance reviews which incorporate client feedback and continuous professional development.

The Client Advisers are subject to our Code of Ethics and our written policies and procedures ("Compliance Program") as described in our Brochure on Form ADV Part 2A. In addition to establishing certain standards for professional conduct, our Compliance Program imposes specific requirements aimed at preventing, detecting and correcting fraudulent activity or activities that pose a conflict of interest in connection with personal transactions. Among other measures imposed by the Compliance Program, these Client Advisers each an Access Person, are required to submit initial, quarterly, and annual reports of accounts, quarterly transaction reports and securities positions to our Chief Compliance Officer. Please see item 11 of our Brochure for more information about our Code of Ethics.