



ROTHSCHILD AND CO WEALTH MANAGEMENT UK LIMITED

CRD no: #158022  
SEC File no: #801-72759

New Court, St Swithin's Lane  
London EC4N 8AL, United Kingdom  
Tel: +44 207 280 5000  
E-mail: [wmloncompliance@rothschildandco.com](mailto:wmloncompliance@rothschildandco.com)

**Brochure Supplement/Form ADV Part 2B**  
for  
**Marcus Baker, Jake van Beever, Benedict Cullen**  
**Dominic Epton, Hugh Giltrow, Charlotte**  
**Mettyear, James Morrell, James Peterson**

**each of whom can be reached at the above details**

November 2021

*This Brochure Supplement provides information about the Client Advisers below and supplements our Brochure on Form ADV Part 2B. You should have received a copy of our Brochure. Please contact Hardeep Chana, CCO, if you did not receive our Brochure or if you have any questions about the contents of this Brochure Supplement.*

**ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

<b>NAME</b>	<b>DATE OF BIRTH</b>	<b>CURRENT POSITION</b>	<b>FORMAL EDUCATION AFTER HIGH SCHOOL</b>	<b>BUSINESS BACKGROUND OVER LAST 5 YEARS</b>	<b>OUTSIDE BUSINESS INTERESTS</b>
James Morrell	19/07/1982	Managing Director, Client Adviser, and Head of the Front Office	Durham University- 2001-2004; Law degree. Completed the (Private Client Investment Advice & Management) PCIAM qualification (with Distinction)	15+ years in Investment/Finance Industry, first with Morgan Stanley, and with Rothschild & Co since March 2010.	None
Jake van Beaver	27/09/1975	Director-Client Adviser	Oxford Brooks University 1994-1998 BA Hons, French and Business Studies. PCIAM and Chartered Fellow of the Chartered Institute of Securities (CISI)	Rothschild & Co since 2013	None
Hugh Giltrow	06/11/1964	Managing Director, Client Adviser	University of Bradford 1983-1986. Bsc. (Honours Degree) 2.1 in Business Studies; Chartered Fellow of the CISI	Rothschild & Co since November 2004	None
Benedict Cullen	10/02/1984	Director, Client Adviser	Nottingham University 2003-2007; Economics BSc. Completed PCIAM	15+ years in Wealth Management with SG Hambros, Morgan Stanley and with Rothschild & Co since April 2010	None
Marcus Baker	28/02/1984	Director, Client Adviser	Cambridge University – 2003-2006, Law (MA).	14 years in Investment/Finance Industry, initially with	Trustee and Member of the Audit

			Completed the (Private Client Investment Advice & Management) PCIAM qualification	Barclays and laterally with Rothschild & Co since July 2015	and Investment Committees of the Sedbergh School Foundation
Charlotte Mettyear	15/04/1990	Client Adviser	University of Cambridge 2008-2011– MA in Classics. Fellow of the Chartered Institute for Securities and Investments (CISI) and holds their PCIAM qualification (with distinction) and holds the Investment Advice Diploma from the CISI.	More than 8 years' experience in the financial services industry - Rothschild & Co since 2013, Deutsche Bank prior to that.	None
Dominic Epton	20/07/1975	Managing Director and Client Adviser	Law degree from University of Newcastle upon Tyne (1994-1997), LPC at The College of Law (1997-1998), Completed various corporate finance exams (2005-2006), completed the Private Client Investment Advice & Management qualification in 2011 (with distinction)	7 years as a corporate lawyer (Ashurst), 15 years in investment banking and wealth management (Rothschild & Co)	None
James Peterson	19/11/1978	Managing Director, Client Adviser and Team Head	Durham University (1998-2001) BSc Natural Sciences; Private Client Investment Advice and	Team Head for Rothschild & Co Wealth Management since 2012	None

			Management qualification (2011)		
--	--	--	---------------------------------	--	--

**ITEM 3 – DISCIPLINARY INFORMATION**

We have nothing to report.

**ITEM 4 – OTHER BUSINESS ACTIVITIES**

No person has any substantial business activities that are material to a client’s or prospective client’s evaluation of this Supervised Person.

**ITEM 5 – ADDITIONAL COMPENSATION**

These eight Client Advisers receive no additional compensation from us or from non-clients for providing advisory services, nor do they receive a bonus other than a regular bonus from us. As Client Advisers, they only receive compensation from us, which is comprised of base salary and discretionary bonus, part of which is deferred over several years.

**ITEM 6 – SUPERVISION**

The direct line supervisors for the eight Client Advisers are Helen Watson (CEO), Dean Lush (Executive Vice Chairman), and James Morell (Client Adviser and Head of the Front Office). We monitor their activities for clients by a continual client file review program carried out by our Compliance Monitoring Team.

These eight Client Advisers are subject to our Code of Ethics and our written policies and procedures (“Compliance Program”) as described in our Brochure on Form ADV Part 2A. In addition to establishing certain standards for professional conduct, our Compliance Program imposes specific requirements aimed at preventing, detecting and correcting fraudulent activity or activities that pose a conflict of interest in connection with personal transactions. Among other measures imposed by the Compliance Program, these Client Advisers each an Access Person, are required to submit initial, quarterly and annual reports of accounts, quarterly transaction reports and securities positions to our Chief Compliance Officer. Please see item 11 of our Brochure for more information about our Code of Ethics.