



Rothschild & Co

Supplier Code of Conduct

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Rothschild & Co encourages a culture of responsible business and proactively takes responsibility for the impact we have as a business on our people, our industry, our communities, and our planet. The group is a signatory to the UN Global Compact and has committed to implementing its principles on human rights, labour, environment, and corruption as part of its Corporate Responsibility strategy.

The group is aiming to create a diverse pool of 3rd party suppliers based on a relationship of respect, trust, and transparency. Rothschild & Co expects its suppliers and subcontractors to implement measures that demonstrate the highest standards of business conduct and integrity as expressed in this Supplier Code of Conduct, not only in regards to their dealings with Rothschild & Co entities and employees, but also the supplier's relations with its own employees and subcontractors or any other related third parties of supplier entities and organizations.

Rothschild & Co suppliers shall therefore:

1. Comply with all applicable laws and regulations
2. Respect Rothschild & Co's zero tolerance policy towards bribery and corruption
3. Respect rights and dignity of all individuals with whom they are dealing. Suppliers are expected to respect the provisions of the International Labour Organization Conventions, and in particular implement policies and procedures aimed at:
 - Elimination of forced labour and child labour
 - Freedom of association and right to collective bargaining
 - Elimination of discrimination in the workplace
 - Safe and healthy working conditions
 - Fair and competitive compensation and benefits
4. Disclose to Rothschild & Co any situation that may appear as a material conflict of interest

Further to the above Rothschild & Co expects suppliers to conduct operations in a manner that is mindful of and proactively addresses their environmental impact (including GHG emissions, responsible use of resources such as water, energy, materials, and waste management).

Where a supplier is in a position to access or process Rothschild & Co data, we expect that appropriate technical and organisational controls are afforded to protect our data from unauthorised access or loss.

Suppliers have a duty to operate in accordance with the principles that are expressed in this Supplier Code of Conduct and in a manner, which is consistent with prudent business practices and highest standards of business conduct. Suppliers have the duty to inform their local Rothschild & Co contact if they become aware of any potential concern or violation with regards to the above outlined obligations.

Any violation of this Supplier Code of Conduct will be considered a material breach of the applicable agreement or contract. The group reserves the right to decline or exit relationships with suppliers where this Supplier Code of Conduct is breached, or concerns exist.

Please direct any questions related to the Supplier Code of Conduct to your local Rothschild & Co contact.

This Supplier Code of Conduct is effective for any new contracts agreed from July 2021.