



ROTHSCHILD AND CO WEALTH MANAGEMENT UK LIMITED

CRD no: #158022

SEC File no: #801-72759

New Court, St Swithin's Lane
London EC4N 8AL, United Kingdom

Tel: +44 207 280 5000

E-mail: wmloncompliance@rothschildandco.com

Brochure Supplement/Form ADV Part 2B
for

**Jake van Beever, Tracy Collins,
Hugh Giltrow and James Morrell**
each of whom can be reached at the above details

29 June 2020

This Brochure Supplement provides information about the Client Advisers below and supplements our Brochure on Form ADV Part 2B. You should have received a copy of our Brochure. Please contact Melanie Troop, CCO, if you did not receive our Brochure or if you have any questions about the contents of this Brochure Supplement.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

| NAME | DATE OF BIRTH | CURRENT POSITION | FORMAL EDUCATION AFTER HIGH SCHOOL | BUSINESS BACKGROUND OVER LAST 5 YEARS | OUTSIDE BUSINESS INTERESTS |
|-------------------------|----------------------|---|---|---|-----------------------------------|
| James Morrell | 19/07/1982 | Managing Director, Client Adviser, and Head of the Front Office | Durham University- 2001-2004; Law degree. Completed the (Private Client Investment Advice & Management) PCIAM qualification (with Distinction) | 15+ years in Investment/Finance Industry, first with Morgan Stanley, and with Rothschild & Co since March 2010. | None |
| Jake van Beever | 27/09/1975 | Director- Client Adviser | Oxford Brooks University 1994-1998 BA Hons, French and Business Studies. PCIAM and Chartered Fellow of the Chartered Institute of Securities (CISI) | Rothschild & Co since 2013 | None |
| Hugh Giltrow | 06/11/1964 | Managing Director, Client Adviser | University of Bradford 1983-1986. Bsc. (Honours Degree) 2.1 in Business Studies; Chartered Fellow of the CISI | Rothchild & Co since November 2004 | None |
| Tracy Christine Collins | 08/03/1971 | Director, Client Adviser | BA (Hons) Modern Languages (London University, 1989-93), PGCE (1995-96) (Oxford University) | 23 years in Investment/ Finance Industry, first with Baring Asset Management, then Hermes Investment Management and Ennismore Fund Management; with Rothschild & Co since July 2013. Chartered Financial Analyst (CFA) charterholder (2001) | None |

ITEM 3 – DISCIPLINARY INFORMATION

We have nothing to report.

ITEM 4 – OTHER BUSINESS ACTIVITIES

No person has any substantial business activities that are material to a client's or prospective client's evaluation of this Supervised Person.

ITEM 5 – ADDITIONAL COMPENSATION

These four Client Advisers receive no additional compensation from us or from non-clients for providing advisory services, nor do they receive a bonus other than a regular bonus from us. As Client Advisers, they only receive compensation from us, which is comprised of base salary and discretionary bonus, part of which is deferred over several years.

ITEM 6 – SUPERVISION

The direct line supervisors for James Morrell, Hugh Giltrow, Jake van Beever and Tracy Collins are Helen Watson (CEO), Dean Lush (Executive Vice Chairman), Charles Costa Duarte (Client Adviser) and Ben Harrison (Client Adviser), respectively. We monitor their activities for clients by a continual client file review program carried out by our Compliance Monitoring Team.

These four Client Advisers are subject to our Code of Ethics and our written policies and procedures ("Compliance Program") as described in our Brochure on Form ADV Part 2A. In addition to establishing certain standards for professional conduct, our Compliance Program imposes specific requirements aimed at preventing, detecting and correcting fraudulent activity or activities that pose a conflict of interest in connection with personal transactions. Among other measures imposed by the Compliance Program, these Client Advisers each an Access Person, are required to submit initial, quarterly and annual reports of accounts, quarterly transaction reports and securities positions to our Chief Compliance Officer. Please see item 11 of our Brochure for more information about our Code of Ethics.